Form 144 Filer Information UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### **144: Filer Information**

Filer CIK0001662170Filer CCCXXXXXXXIs this a LIVE or TEST Filing?Is LIVE INFORMATIONSubmission Contact InformationImage: Contact Information

Name Phone E-Mail Address

#### **144: Issuer Information**

Name of IssuerROCKET PHARMACEUTICALS, INC.SEC File Number001-36829Address of Issuer350 FIFTH AVENUE<br/>SUITE 7530Address of IssuerNEW YORK<br/>NEW YORK<br/>10118Phone646-440-9100Name of Person for Whose Account the Securities are To Be SoldMilitello JohnSee the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

Officer

### **144: Securities Information**

| Title of the Class of<br>Securities To Be Sold | Name and Address of<br>the Broker   | Number of<br>Shares or<br>Other Units<br>To Be Sold | Aggregate<br>Market<br>Value |          | Approximate<br>Date of Sale | Socuritios |
|--|---|---|------------------------------|----------|-----------------------------|------------|
| Common   | Fidelity Brokerage<br>Services LLC<br>900 Salem Street<br>Smithfield RI 02917 | 917   | 15245.03                     | 90975859 | 10/31/2024                  | NASDAQ     |

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

# **144: Securities To Be Sold**

Title of the Amount of Date you Nature of Name of Is Date Date of Nature of Class Acquired Acquisition Person from this Donor **Securities** Payment Payment \*

|        | Transaction                            | Whom<br>Acquired | a Acquire<br>Gift? | d Acquired |                         |
|--------|--|------------------|--------------------|------------|-------------------------|
| Common | 10/18/2024 Restricted Stock<br>Vesting | Issuer           |                    | 917        | 10/18/2024 Compensation |

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

| Name and Address of Seller                                | Title of Securities Sold | Date of<br>Sale | Amount of<br>Securities<br>Sold | <b>Gross Proceeds</b> |
|---|--------------------------|-----------------|---------------------------------|-----------------------|
| John Militello<br>9 Cedarbrook Drive<br>Cranbury NJ 08512 | Common                   | 08/16/2024 1    | .064                            | 19687.72              |

# 144: Remarks and Signature

Remarks Sale includes an amount necessary to cover a tax obligation resulting from the settlement of a vested equity award distribution.

Date of 10/31/2024 Notice

#### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Gerardo Ibarra-Munoz, as a duly authorized representative of Fidelity Brokerage Services LLC, as attorney-infact for John Militello

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)