FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b)

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Washington,	D.C.	20549	

Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Occident to a contra of the contra	

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-
1(c) See Instruction 10

O John (Fir KET PHA	,			ROO	<u>CKE</u>	me <b>and</b> Tick				INIC				ng Person(s) to I	ssuer	
(Fir KET PHA	,			I DCIZ					HCALS	<u>, IIVC</u>	<u></u> [["	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner				
KET PHA	,			RCKT ]							Offic	Director Officer (give title below)		(specify		
(Last) (First) (Middle) C/O ROCKET PHARMACEUTICALS, INC. 9 CEDARBROOK DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 10/31/2024						below) below) See Remarks							
				4. If A	mendn	nent, Date o	of Origin	al File	d (Month/Da	y/Year)		ne) Form	n filed by One n filed by Moi		son	
			n-Deriva	l tive S	Securi	ities Acc	uired	. Dis	posed of	or B	enefici	ally Owr	ed			
Date		Date	Execution Date,		3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)			ed (A) or str. 3, 4 ar	5. Amount of 4 and Securities Beneficially Owned Followir		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
							Code	v	Amount	(A) or (D)	Price	Trans	action(s)		(111041. 4)	
Stock <sup>(1)</sup>			10/31/2	024			S <sup>(2)</sup>		917	D	\$16.6	525 5	0,534	D		
	Tal	ole II -											d			
f 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 33. Deemed Execution Date, if any (Month/Day/Year)		ion Date,		ction Instr.	of Expiration		Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
	(State Country (Instance Count	(State) (Z  Table ecurity (Instr. 3)  Stock(1)  Tak  2. Conversion or Exercise (Month/Day/Year) Perice of Derivative	(State) (Zip)  Table I - No  curity (Instr. 3)  Stock(1)  Table II -  Conversion Date (Month/Day/Year) Perice of Derivative (Month/Day/Year)	Table I - Non-Deriva  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)	Table I - Non-Derivative Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  Table II - Derivative Security (Instr. 3)  Table II - Derivative Security (e.g., puts, cathering and context of the price of Derivative Security (Month/Day/Year)  2. Table II - Derivative Security (e.g., puts, cathering and context of the price of Derivative Security (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)	Table I - Non-Derivative Secur  2. Transaction Date (Month/Day/Year) if any (Month/Day/Year)  Table II - Derivative Securit (e.g., puts, calls, work c	Table I - Non-Derivative Securities Acceptance (Month/Day/Year)  Stock(I)  Table II - Non-Derivative Securities Acceptance (Month/Day/Year)  2. Transaction Date, if any (Month/Day/Year)  10/31/2024  Table II - Derivative Securities Acque (e.g., puts, calls, warrants, calls, warrants, make the control of Execution Date, if any (Month/Day/Year)  2. Conversion of Exercise Price of Date (Month/Day/Year)  2. Conversion of Exercise Price of Date (Month/Day/Year)  2. Conversion Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Instr. 8)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Instr. 8)  4. Transaction Code (Instr. 8)  5. Number of Derivative Securities Acquired (A) or Disposed of (D)	Table I - Non-Derivative Securities Acquired  accurity (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (if any (Month/Day/Year)  2. Table II - Derivative Securities Acquired, (e.g., puts, calls, warrants, option  2. Conversion Date (Month/Day/Year)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  4. Transaction Date (Month/Day/Year)  5. Number of Expirative Securities Acquired (A) or Disposed of (D)  Code (Instr. 8)	Table I - Non-Derivative Securities Acquired, Discurity (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Table II - Derivative Securities Acquired, Disp (e.g., puts, calls, warrants, options, calls, warrants, ca	Table I - Non-Derivative Securities Acquired, Disposed of Executity (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Code (Instr. 3)  Table II - Derivative Securities Acquired, Disposed of (e.g., puts, calls, warrants, options, convertib  2. Conversion Date (Month/Day/Year)  2. Conversion Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  4. Securities Acquired, Disposed of Exercisable and Execution Date, if any (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)	Table I - Non-Derivative Securities Acquired, Disposed of, or Be equivaried (Month/Day/Year)    Stock	(State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficies (Month/Day/Year)    2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   2. Transaction Date (Month/Day/Year)   3. Transaction Disposed Of (D) (Instr. 3, 4 and 5)   4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)   5. October (Day Price (Day Price (Day Price (Day Price (Month/Day/Year))   5. Number of Derivative Securities (Month/Day/Year)   6. Date Exercisable and Expiration Date (Month/Day/Year)   7. Title and Amount of Securities (Month/Day/Year)   7. Title and Expiration Date (Month/Day/Year)   7. Title and Amount of Securities (Month/Day/Year)   7. Title and Amount of Securities (Month/Day/Year)   7. Title (Month/Day/Year)   7. Titl	(State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own Date (Month/Day/Year)  (Month/Day/Year)	(State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  Table II - Derivative Securities Acquired (A) or Conversion Date (e.g., puts, calls, warrants, options, convertible securities)  2. Transaction (Disposed of (D) (Instr. 3, 4 and 5)  Code V Amount (A) or Disposed of (D) (Instr. 3 and 4)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  2. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  2. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  2. Transaction Date (Month/Day/Year)  3. Transaction Date (E.g., puts, calls, warrants, options, convertible securities)  3. Transaction Date (E.g., puts, calls, warrants, options, convertible securities)  4. Transaction Code (Instr. 8)  5. Number of Derivative Securities Macquired (A) or Disposed of (D) (Instr. 3)  6. Date Exercisable and Expiration Date (Month/Day/Year)  8. Price of Derivative Securities Macquired (A) or Disposed of (D) (Instr. 3)  9. Number Securities Macquired (A) or Disposed of (D) (Instr. 3)  9. Number Securities Macquired (A) or Disposed of (D) (Instr. 3)  1. Transaction (Instr. 3)  1. Transaction (Instr. 3)  1. Transaction (Instr. 3)  2. Transaction (Instr. 3)  3. Transaction (Instr. 3)  3. Transaction (Instr. 3)  4. Securities (Instr. 3)  5. Amount of Securities (Instr. 3)  6. Date Exercisable and Expiration Date (Instr. 3)  8. Price of Derivative Securities (Instr. 3)  9. Number Securities (Instr. 3)  9. Number Securities (Instr. 3)  9. Number Securities (Instr. 3)  1. Transaction (Instr. 3)  1. Transaction (Instr. 3)  1. Transaction (Instr. 3)	Conversion   Con	

Date

Exercisable

(A) (D)

## **Explanation of Responses:**

- 1. Holdings include Restricted Stock Units ("RSUs") that convert to common stock on a one-for-one basis
- 2. The shares of common stock were sold by the Reporting Person in order to pay tax withholding obligations in connection with the vesting of RSUs.

Code

## Remarks:

Vice President of Finance, Treasurer, Principal Accounting Officer

/s/ Martin Wilson, as attorney-11/04/2024 in-fact for John Militello

\*\* Signature of Reporting Person Date

or Number

Shares

Title

Expiration Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.